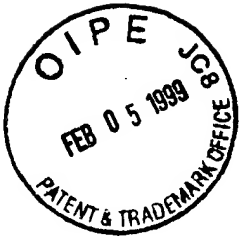


See for \$ #3  
EXPRESS MAIL NO. EL209906398US  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence and the documents referred to as enclosed therein are being deposited with the United States Postal Service on February 5, 1999 in an envelope as 'Express Mail Post Office To Addressee' Mailing Label Number EL209906398US addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231.

Amy Bresnahan  
Type or Print Name

Amy Bresnahan  
Signature

In re application of:  
Garibaldi

Serial No.: 09/200,055

Examiner NOT ASSIGNED

Filed: November 25, 1998

Group Art Unit 3731

For: METHOD OF AND APPARATUS  
FOR NAVIGATING MEDICAL  
DEVICES IN BODY LUMENS

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

LETTER

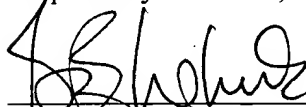
Dear Sir:

Enclosed please find:

1. Notice to File Missing Parts of Application Filing Date Granted;
2. Verified Statement (Declaration) Claiming Small Entity Status [37 CFR 1.9(f) and 1.27(c)] Small Business Concern for Stereotaxis, Inc. the Assignee of the application;
3. Our check for \$703.00 is enclosed. This amount is believed correct because there are 5 independent claims on this application, rather than 4 as indicated on the Notice to file Missing Parts of Application. If this amount is incorrect, please debit deposit account 18-1829 or credit any excess thereto;

4. Duplicate copy of this letter.

Respectfully submitted,



Bryan K. Wheelock

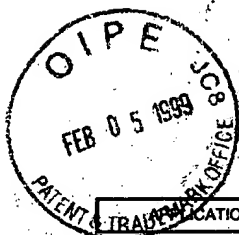
Reg. No. 31,441

Howell & Haferkamp, L.C.

7733 Forsyth Boulevard, Suite 1400

St. Louis, Missouri 63105

(314) 727-5188



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

08/20/98 14/25/98 GARTHELDI

3176-7694

0222/1217

BRYAN K WHEELLOCK  
HOWELL & HAERKAMP  
7223 FORSYTH BOULEVARD  
SUITE 1400  
ST LOUIS MO 63105

NOT ASSIGNED

3731

DATE MAILED:

12/17/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1328.00

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ 76.00 for 1 independent claims over 3.

\$ 360.00 for 20 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

☐ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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380.00 DP  
65.00 DP  
195.00 DP  
63.00 DP

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